

I hereby certify that this correspondence (along with any referred to as being attached or enclosed) is being deposited with the United States Patent Office on the date shown below via EFS-Web Electronic Filing.

Date: August 6, 2007

By: /Rena lov/
Rena lov

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF:

Chen, Daijie et al.

APPLICATION No.: 10/567,439

FILED: MARCH 14, 2007

INT'L APPLICATION No.: PCT/CN2004/000746

FILED: JULY 5, 2004

FOR: **USE OF CLOUD POINT SYSTEM IN
BIOTRANSFORMATION**

EXAMINER: TO BE ASSIGNED

ART UNIT: 1651

CONFNo.: 9137

ATTORNEY DOCKET No.:
63774.8006.US00

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination
Filing Receipt Corrections
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

1. Applicants respectfully request that a Corrected Filing Receipt be issued for the subject application. A copy of the Filing Receipt with the marked corrections and a Supplemental Application Data Sheet are attached.
2. The power of attorney in the subject application is to all practitioners identified by Customer No. 34055 as indicated on the attached executed Utility Declaration and Power of Attorney filed on March 12, 2007.
3. As indicated on the attached Change of Correspondence Address filed with the Response to Notification of Missing Requirements on March 12, 2007, the correspondence address for the subject application is as follows:

Customer No. 34055
Perkins Coie LLP
Patent – LA
P.O. Box 1208
Seattle, WA 98111-1208
Phone: (310) 788-9900
Fax: (310) 788-3399

4. No fees are believed to be due for this request. Should any fees be required, the Commissioner is authorized to charge the fees to Deposit Account No. 50-2586.

Respectfully submitted,

PERKINS COIE LLP

Date: August 6, 2007

By: /Manni Li, Reg. No. 57,400/
Manni Li
Reg. No. 57,400

Correspondence Address:

Customer No. 34055
Perkins Coie LLP
Patent – LA
P.O. Box 1208
Seattle, WA 98111-1208
Phone: (310) 788-9900
Fax: (310) 788-3399

MZL



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/567,439	03/14/2007	1651	515	37137-227749	4	1

26694
VENABLE LLP
P.O. BOX 34385
WASHINGTON, DC 20043-9998

34055
PERKINS COIE LLP
P.O. BOX 1208
SEATTLE, WA 98111-1208

CONFIRMATION NO. 9137

FILING RECEIPT



OC000000025090613

Date Mailed: 07/31/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Daijie Chen, Shanghai, CHINA;
Zhilong Wang, Shanghai, CHINA;
Mei Ge, Shanghai, CHINA;
Yiping Jin, Shanghai, CHINA;
Weidong Ye, Shanghai, CHINA;

Assignment For Published Patent Application

Shanghai Health Creation Center for Biopharmaceutical R&D Co., ~~LT~~^{Ltd.}, Shanghai, CHINA
Zhejiang Medicine Co., ~~LT~~^{Ltd.}, Xinchang Pharmaceutical Factory, Zhejiang, CHINA

Power of Attorney: The patent practitioners associated with Customer Number ~~26694~~ ³⁴⁰⁵⁵

Domestic Priority data as claimed by applicant

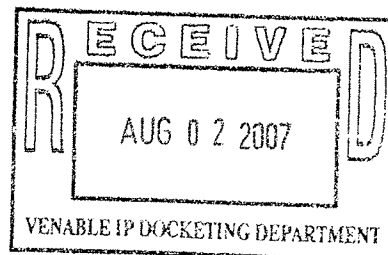
This application is a 371 of PCT/CN04/00746 07/05/2004

Foreign Applications

CHINA 03142114.8 08/07/2003

If Required, Foreign Filing License Granted: 07/30/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/567,439**



COMPUTER UPDATED

Projected Publication Date: 11/08/2007

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Use of Cloud Point System in Biotransformation

Preliminary Class

435

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on:

Date: March 12, 2007

By: 

Rena lov

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: DAIJIE CHEN ET AL.

U.S. APPLICATION NO.: 10/567,439

Filed: FEBRUARY 7, 2006

INT'L APPLICATION NO.: PCT/CN2004/000746

INT'L FILING DATE: JULY 5, 2004

FOR: **USE OF CLOUD POINT SYSTEM IN
BIOTRANSFORMATION**

CONF. NO: 9137

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS

UNDER 35 U.S.C. 371 IN THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)


Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to Notification of Missing Requirements Under 35 U.S.C. 371 in the United States Designated/Elected Office (DO/EO/US) mailed from the U.S. Patent and Trademark Office on January 12, 2007 (copy enclosed), Applicants submit the executed Utility Declaration and Power of Attorney for the subject application.

No fee is believed to be due with this paper. However, if Applicant is mistaken, please charge any underpayment for timely filing of this Response to Deposit Account No. 50-2586.

Respectfully submitted,
Perkins Coie LLP



Joseph P. Hamilton
Registration No. 51,770

Date: March 12, 2007

Correspondence Address:

Customer Number 34055
Perkins Coie LLP
Patent – LA
P.O. Box 1208
Seattle, WA 98111-1208
Phone: (310) 788-9900
Fax: (310) 788-3399

UTILITY DECLARATION AND POWER OF ATTORNEY
Application

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **USE OF CLOUD POINT SYSTEM IN BIOTRANSFORMATION**, the specification of which

(Check One) ☐ is attached hereto OR
☒ was filed on February 7, 2006 as United States Application No. 10/567,439.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment(s) referred to above.

I acknowledge the duty to disclose information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, § 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Date of Filing	Priority Claimed	
			Yes	No
CN 03142114.8	China	August 7, 2003	X	

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s), or § 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application Number	PCT Parent Number	Parent Filing Date	Status-Patented, Pending or Abandoned
	PCT/CN2004/000746	July 5, 2004	

POWER OF ATTORNEY: As a named inventor, I hereby appoint as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and transact all business in the United States Patent and Trademark Office, and in countries other than the United States, and to do all things necessary or appropriate therefor before any competent International Authorities in connection with any international patent application(s) corresponding to the above-identified invention application, all of the registered practitioners identified by Customer Number 34055. Please direct all telephone calls to Man Li at (310) 788-9900 and address all correspondence to:

Customer No. 34055
Perkins Coie LLP
Patent - LA
P.O. Box 1208
Seattle, WA 98111-1208
Phone: (310) 788-9900
Fax: (310) 788-3399

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, § 1001 and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

201	FULL NAME OF INVENTOR	FIRST Name Daljie	MIDDLE Initial	LAST Name CHEN	
	RESIDENCE & CITIZENSHIP	City Shanghai	State or Foreign Country China	Country of Citizenship China	
	POST OFFICE ADDRESS	2B, Building 1, No. 200 Niudun Rd., Zhangjiang High-Tech Park	City Shanghai	State or Country China	Zip Code 201203
INVENTOR'S SIGNATURE <u>陈达杰</u> DATE <u>March 11, 2007</u>					

202	FULL NAME OF INVENTOR	FIRST Name Zhilong	MIDDLE Initial	LAST Name WANG	
	RESIDENCE & CITIZENSHIP	City Shanghai	State or Foreign Country China	Country of Citizenship China	
	POST OFFICE ADDRESS	2B, Building 1, No. 200 Niudun Rd., Zhangjiang High-Tech Park	City Shanghai	State or Country China	Zip Code 201203
INVENTOR'S SIGNATURE <u>王志龙</u> DATE <u>March 11, 2007</u>					

203	FULL NAME OF INVENTOR	FIRST Name Mei	MIDDLE Initial	LAST Name GE	
	RESIDENCE & CITIZENSHIP	City Shanghai	State or Foreign Country China	Country of Citizenship China	
	POST OFFICE ADDRESS	2B, Building 1, No. 200 Niudun Rd., Zhangjiang High-Tech Park	City Shanghai	State or Country China	Zip Code 201203
INVENTOR'S SIGNATURE <u>梅 洁</u>			DATE <u>March 11, 2007</u>		

204	FULL NAME OF INVENTOR	FIRST Name Yiping	MIDDLE Initial	LAST Name JIN	
	RESIDENCE & CITIZENSHIP	City Shanghai	State or Foreign Country China	Country of Citizenship China	
	POST OFFICE ADDRESS	2B, Building 1, No. 200 Niudun Rd., Zhangjiang High-Tech Park	City Shanghai	State or Country China	Zip Code 201203
INVENTOR'S SIGNATURE <u>金 一平</u>			DATE <u>March 11, 2007</u>		

205	FULL NAME OF INVENTOR	FIRST Name Weidong	MIDDLE Initial	LAST Name YE	
	RESIDENCE & CITIZENSHIP	City Shanghai	State or Foreign Country China	Country of Citizenship China	
	POST OFFICE ADDRESS	2B, Building 1, No. 200 Niudun Rd., Zhangjiang High-Tech Park	City Shanghai	State or Country China	Zip Code 201203
INVENTOR'S SIGNATURE <u>叶 伟东</u>			DATE <u>March 11, 2007</u>		

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**CHANGE OF
CORRESPONDENCE ADDRESS
Application**

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	10/567,439
Filing Date	February 7, 2006
First Named Inventor	Daijie CHEN
Art Unit	
Examiner Name	Not yet assigned
Attorney Docket Number	63774.8006.US00

Please change the Correspondence Address for the above-identified patent application to:

☒ The address associated with
Customer Number:

34055

OR

☐ Firm or
Individual Name

Address

City

State

Zip

Country

Telephone

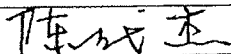
Email

This form cannot be used to change the data associated with a Customer Number. To change the data associated with an existing Customer Number use "Request for Customer Number Data Change" (PTO/SB/124).

I am the:

- ☒ Applicant/Inventor
- ☐ Assignee of record of the entire interest.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☐ Attorney or agent of record. Registration Number _____
- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Signature



Typed or Printed
Name

Daijie CHEN

Date

March 11, 2007

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 5 forms are submitted.

This collection of information is required by 37 CFR 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**CHANGE OF
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Application**Address to:
Commissioner for Patents
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Alexandria, VA 22313-1450

Application Number	10/567,439
Filing Date	February 7, 2006
First Named Inventor	Daijie CHEN
Art Unit	
Examiner Name	Not yet assigned
Attorney Docket Number	63774.8006.US00

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- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Signature

王 志 龙

Typed or Printed
Name

Zhilong WANG

Date

March 11, 2007

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

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CHANGE OF CORRESPONDENCE ADDRESS Application

Address to:
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	10567,439
Filing Date	February 7, 2006
First Named Inventor	Daijie CHEN
Art Unit	
Examiner Name	Not yet assigned
Attorney Docket Number	63774.8006.US00

Please change the Correspondence Address for the above-identified patent application to:

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Customer Number:

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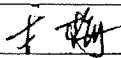
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- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Signature



Typed or Printed
Name

Mei GE

Date

March 11, 2007

Telephone

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Address to:
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P.O. Box 1450
Alexandria, VA 22313-1450

Application Number	10/567,439
Filing Date	February 7, 2006
First Named Inventor	Daijie CHEN
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☐ Attorney or agent of record. Registration Number _____.

☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____.

Signature

金一平

Typed or Printed
Name

Yiping JIN

Date

March 11, 2007

Telephone

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- ☐ Registered practitioner named in the application transmittal letter in an application without an executed oath or declaration. See 37 CFR 1.33(a)(1). Registration Number _____

Signature

叶伟东

Typed or Printed
Name

Weidong YE

Date

March 11, 2007

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☒ Total of 5 _____ forms are submitted.

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